



Investor Protection and Securities Bureau  
New York State Office of the Attorney General  
120 Broadway  
New York, New York 10271  
(212) 416-8200 (phone)  
(212) 416-8816 (fax)

**COMPLAINT FORM**  
Consumer Hotline For Hearing Impaired  
1 (800) 771-7755 TDD (800) 788-9898  
<http://www.ag.ny.gov>

**Instructions for filing a complaint:**

1. Please type or print clearly
2. Please complete the entire form
3. Please enclose copies of any documents relating to this complaint

1. YOUR NAME:	2. DATE:
3. YOUR ADDRESS:	
4. HOME PHONE:	5. BUSINESS PHONE:
6. COMPANY NAME:	
7. COMPANY ADDRESS:	8. COMPANY PHONE:
9. SALESPERSONS NAME:	
10. DATE OF TRANSACTION:	11: NATURE OF INVESTMENT:
12. TOTAL AMOUNT OF INVESTMENT: \$	

<p>13. DID YOU COMPLAIN TO THE COMPANY?</p> <p>YES            NO</p>	<p>14 IF YES, WHEN?:</p>
<p>15. TO WHOM DID YOU COMPLAIN?</p>	
<p>16. DO YOU HAVE AN ATTORNEY?</p>	
<p>17. IF THERE COURT ACTION PENDING?</p>	
<p>18. DID YOU RECEIVE THE SECURITY YOU PURCHASED?</p>	
<p>19 FULLY EXPLAIN THE NATURE OF YOUR COMPLAINT:</p>	

Signature: \_\_\_\_\_

Date: \_\_\_\_\_ Complaint taken by \_\_\_\_\_ ( ) Mailed in

Return To:

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