



Index	<u>Town of Clarkstown, NY</u>	Search
New Laws		Print
CHAPTER 16. ENVIRONMENTAL CONTROL, DEPARTMENT OF D	Help	CHAPTER 25. HISTORIC REVIEW BOARD

This electronic version is provided for informational purposes only. For the official version please contact the municipality.

[Jump to Content](#)

[CHAPTER 18. ETHICS, CODE OF](#)

- [§ 18-1. Title.](#)
- [§ 18-2. Legislative intent.](#)
- [§ 18-3. Scope and applicability.](#)
- [§ 18-4. Definitions.](#)
- [§ 18-5. Code of Ethics.](#)
- [§ 18-6. Financial disclosure.](#)
- [§ 18-7. Board of Ethics; membership; duties.](#)
- [§ 18-8. Penalties for offenses; enforcement.](#)
- [§ 18-9. Duties of Town Clerk.](#)
- [§ 18-10. Removal from certain state provisions.](#)
- [§ 18-11. Inducement of violations.](#)
- [§ 18-12. Claims against Town.](#)
- [§ 18-13. Recusal from voting or other discretionary act.](#)

Chapter 18. ETHICS, CODE OF

Attachments:

- [018a Appendix I](#) 
- [018b Appendix II](#) 

[HISTORY: Adopted by the Town Board of the Town of Clarkstown 10-10-1989 by L.L. No. 6-1989 (Ch. 9 of the 1974 Code). Amendments noted where applicable.]

§ 18-1. Title.

This chapter shall be known and cited as the "Ethics in Government Law of the Town of Clarkstown."

§ 18-2. Legislative intent.

In a democracy, government should be open, accessible, equitable and efficient. Democratic government requires that elected officials be independent, impartial and responsible to the people. Government decisions and policy must be made through the appropriate channels of government structure. Public office must not be used or be perceived for personal gain. The public must have confidence in the integrity of its government. In order to strengthen the democratic operation of the Town of Clarkstown and to enhance public trust in that government, this Code requires disclosure of financial interests that may influence or be perceived to influence the actions of elected officials, covered employees or Town employees. Elected officials, covered employees or Town employees hold office for the benefit of the public, and their conduct must be of the highest standard. It is of the utmost importance that all individuals covered by that law must be seen to be acting solely in the public interest. Therefore, it is the intent of this legislation to;

GENERAL CODE



Index	Town of Clarkstown, NY		Search <input type="text"/>	Search
New Laws				Print
CHAPTER 16. ENVIRONMENTAL CONTROL, DEPARTMENT OF D	Help		CHAPTER 25. HISTORIC REVIEW BOARD	

This electronic version is provided for informational purposes only. For the official version please contact the municipality.

[Jump to Content](#)

CHAPTER 18. ETHICS, CODE OF

- [§ 18-1. Title.](#)
- [§ 18-2. Legislative intent.](#)
- [§ 18-3. Scope and applicability.](#)
- [§ 18-4. Definitions.](#)
- [§ 18-5. Code of Ethics.](#)
- [§ 18-6. Financial disclosure.](#)
- [§ 18-7. Board of Ethics; membership; duties.](#)
- [§ 18-8. Penalties for offenses; enforcement.](#)
- [§ 18-9. Duties of Town Clerk.](#)
- [§ 18-10. Removal from certain state provisions.](#)
- [§ 18-11. Inducement of violations.](#)
- [§ 18-12. Claims against Town.](#)
- [§ 18-13. Recusal from voting or other discretionary act.](#)

Chapter 18. ETHICS, CODE OF

Attachments:

- [018a Appendix I](#)
- [018b Appendix II](#)

[HISTORY: Adopted by the Town Board of the Town of Clarkstown 10-10-1989 by L.L. No. 6-1989 (Ch. 9 of the 1974 Code). Amendments noted where applicable.]

§ 18-1. Title.

This chapter shall be known and cited as the "Ethics in Government Law of the Town of Clarkstown."

§ 18-2. Legislative intent.

In a democracy, government should be open, accessible, equitable and efficient. Democratic government requires that elected officials be independent, impartial and responsible to the people. Government decisions and policy must be made through the appropriate channels of government structure. Public office must not be used or be perceived for personal gain. The public must have confidence in the integrity of its government. In order to strengthen the democratic operation of the Town of Clarkstown and to enhance public trust in that government, this Code requires disclosure of financial interests that may influence or be perceived to influence the actions of elected officials, covered employees or Town employees. Elected officials, covered employees or Town employees hold office for the benefit of the public, and their conduct must be of the highest standard. It is of the utmost importance that all individuals covered by that law must be seen to be acting solely in the public interest. Therefore, it is the intent of this legislation to;

- A. Establish high standards of ethical conduct for elected officials, covered employees and Town employees.
- B. Afford elected officials, covered employees and Town employees clear guidance to such standards.
- C. Promote public confidence in the integrity of Town government.
- D. Require disclosure of financial interests that may influence or be perceived to influence the actions of elected officials, candidates for elected office and covered employees.
- E. Facilitate consideration of potential problems before they arise, minimize unwarranted suspicion and enhance the accountability of government to the people.

§ 18-3. Scope and applicability.

- A. Nothing contained in this chapter shall be deemed to supersede the provisions of § 800, 801, 802, 803 and 809 of the General Municipal Law, but rather this chapter is deemed supplementary thereto. However, should a higher standard of disclosure of conflict of interest be required by this chapter, then the provisions of this chapter shall govern.
- B. All other legal requirements pertaining to the conduct of elected officials, covered employees and Town employees shall not be deemed to have been superseded by this chapter, including but not limited to rules of any state or county agency relating to licensing and discipline and any other applicable disciplinary codes.

§ 18-4. Definitions.

As used in this chapter, the following terms shall have the meanings indicated:

AGENCY

Any town, agency, department, division, board, commission or bureau of the Town of Clarkstown.

BOARD

The Board of Ethics of the Town of Clarkstown.

BUSINESS/PROFESSIONAL DEALING AND/OR BUSINESS TRANSACTION

Having or providing any contract, service or work with the Town; buying, selling, renting, leasing or otherwise acquiring from or dispensing to the Town any goods, services or property; or obtaining any approval, grant, loan, license, permit or other privilege from the Town, excepting that by operation of law.

CANDIDATE FOR ELECTED OFFICE

A candidate for Town-wide office who receives the designation of a party and who files a designating petition for nomination at a primary election, or who receives the nomination of a party other than at a primary election (whether or not for an uncontested office), or who seeks independent nomination and who has not been designated by a party to receive a nomination but files a designating petition, or whose name appears on a primary or election ballot pursuant to § 6-148 of the Election Law. The terms "party," "designation," "primary election," "nomination" and "independent nomination," as used herein, shall have the same meaning as those contained in § 1-104 of the Election Law. **[Amended 4-9-2002 by L.L. No. 4-2002]**

COMPENSATION

Any money, thing of value or financial benefit conferred in return for services rendered or to be rendered.

COVERED EMPLOYEES

The heads, other than elected officials, of any agency, department, division, council, board, commission or bureau of the Town and their deputies and assistants and the officers, employees and consultants on annual retainers of such agencies, departments, divisions, boards, bureaus, commissions or councils who hold policy-making positions as determined by the Town Board and set forth in a written instrument annexed hereto as

statement containing the information and in the form set forth as Appendix II *Editor's Note: Appendix II appears at the end of this chapter.* hereto. Such statement shall be filed with the Board of Ethics on or before the 15th day of May with respect to the preceding calendar year, with the following exceptions:

- A. A person who is subject to the reporting requirements of this section and who timely filed with the Internal Revenue Service an application for automatic extension of time in which to file his or her individual income tax return for the immediately preceding calendar or fiscal year shall be required to file such financial disclosure statement on or before May 15, but may, without being subjected to any civil penalty on account of a deficient statement, indicate with respect to any item of the disclosure statement that information with respect thereto is lacking but will be supplied in a supplementary statement of financial disclosure, which shall be filed on or before the seventh day after the expiration of the period of such automatic extension of time within which to file such individual income tax return, provided that failure to file or to timely file such supplementary statement of financial disclosure or the filing of an incomplete or deficient supplementary statement of financial disclosure shall be subject to the notice and penalty provisions of this section respecting annual statements of financial disclosure as if such supplementary statement were an annual statement.
- B. A person who is required to file an annual financial disclosure statement with the Board and who is granted an additional period of time within which to file such statement due to justifiable cause or undue hardship, in accordance with required rules and regulations on the subject adopted by the Board, shall file such statement within the additional period of time granted.
- C. Candidates for elected office shall file such annual financial disclosure statement within seven days after the last day allowed by law for the filing of primary election designating petitions; or the last day allowed by law for the filing of independent nominating petitions; or the date of the meeting of the party committee at which they are nominated; or within seven days of receiving the designation or nomination or independent nomination or otherwise becoming a candidate by virtue of § 6-148 of the Election Law, as shall be applicable to the form of their candidacy.

[Amended 4-9-2002 by L.L. No. 4-2002]

- D. Any person required to file an annual financial disclosure statement who commences employment after April 15 of any year shall file such statement within 30 days after commencing employment.
- E. A person who is required to file an annual financial disclosure statement in more than one capacity need file only one such statement but must observe the earliest filing date with respect to each of such capacities.

§ 18-7. Board of Ethics; membership; duties.

- A. There shall be a Board of Ethics consisting of five members to be appointed by the Town Board, all of whom shall reside in the Town of Clarkstown and who shall be compensated for such service, which shall be set by resolution of the Town Board. The members of the present Board existing on the effective date of this chapter shall continue in office until the expiration of their respective terms unless they or any one of them is in violation of any of the provisions of Subsection B hereof, in which case said member or members are deemed to have vacated their office as of the effective date of this chapter. The members of the Board shall elect a Chairman and a Secretary.

[Amended 8-18-1992 by L.L. No. 7-1992]

- B. No member of the Board shall:

- (1) Hold any public office, elected or appointed, or be a public officer or employee. This provision is adopted so as to establish a Board of Ethics that is an unquestionably independent body, pursuant to and by the authority granted to the Town by the New York Constitution, Article IX, § 2(c) and § 10(1)(i) and (ii)(a)(1) of the Municipal Home Rule Law to adopt a Code provision not inconsistent with and stricter than § 808(3) of the General Municipal Law.

individual. If the Board determines at any stage of the proceeding that there is no violation or that any potential conflict of interest violation has been rectified, it shall so advise the reporting person and the complainant, if any. All the foregoing proceedings shall be confidential. If the Board determines that there is reasonable cause to believe that a violation has occurred, it shall send notice of reasonable cause to the reporting person, to the complainant, if any, and, in the case of a covered employee, to the appointing authority for such person. Such notice shall be available for public inspection.

- Q.** The Board shall adopt rules governing the conduct of adjudicatory proceedings relating to the assessment of civil penalties herein authorized. Such rules shall provide for due process procedural mechanisms substantially similar to those set forth in Article 3 of the State Administrative Procedure Act, but such mechanisms need not be identical in terms or scope. Assessment of a civil penalty shall be final unless modified, suspended or vacated within 30 days of imposition and, upon becoming final, shall be subject to review at the instance of the affected reporting individual in a proceeding commenced against the appropriate body pursuant to Article 78 of the Civil Practice Law and Rules.
- R.** The Board shall conduct any investigation necessary to carry out the provisions of this chapter. Pursuant to this power and duty, the Board may administer oaths or affirmations, subpoena witnesses, compel their attendance and require the production of any books or records which it may deem relevant or material.
- S.** All decisions of the Board not otherwise required to be kept confidential shall be printed and made public within 30 days after their decision and shall be filed with the Town Clerk and shall be available for public inspection during regular business hours.

§ 18-8. Penalties for offenses; enforcement.

- A.** Any person who shall violate any of the provisions of § 18-5 of this chapter shall be subject to a civil fine in an amount not to exceed \$10,000 for each violation. Assessment of a civil penalty hereunder shall be made by the Board.

[Amended 3-16-2010 by L.L. No. 1-2010]

- B.** In addition, any person who shall violate any of the provisions of § 18-5 of this chapter shall be guilty of a Class A misdemeanor as that term is defined in the New York State Penal Law.
- C.** An elected official, covered employee or candidate for elected office who knowingly and willfully fails to file an annual statement of financial disclosure or who knowingly and willfully, with intent to deceive, makes a false statement or gives information which such individual knows to be false on such statement of financial disclosure filed pursuant to § 18-6 of this chapter shall be assessed a civil penalty in an amount not to exceed \$10,000. The Board may, in lieu of a civil penalty, refer a violation to the appropriate prosecutor and, upon such conviction, but only after such referral, such violation shall be punishable as a Class A misdemeanor. A civil penalty for false filing may not be imposed hereunder in the event that a category of value or amount reported hereunder is incorrect, unless such reported information is falsely understated. Notwithstanding any other provision of law to the contrary, no other penalty, civil or criminal, may be imposed for a failure to file or for a false filing of such statement, except that the Board may impose disciplinary action as otherwise provided by law.
- D.** In the event that a civil penalty assessed by the Board shall become final and shall not be reviewed pursuant to Article 78 of the Civil Practice Law and Rules or, if so reviewed such civil penalty shall be sustained or modified and, as so modified, sustained in part or, if after referral to the appropriate prosecutor by the Board the reporting person shall be convicted, the Board is hereby directed to review the facts and, if appropriate, to file appropriate disciplinary charges and to impose disciplinary action as provided by law.

§ 18-9. Duties of Town Clerk.

[Amended 4-9-2002 by L.L. No. 4-2002]

A. The Town Clerk shall maintain as a record subject to public inspection:

[Amended 3-16-2010 by L.L. No. 1-2010]

- (1) A copy of this chapter or any amendments hereto adopted, within 30 days after the adoption thereof.
- (2) A statement that the Town of Clarkstown has established a Board of Ethics in accordance with this chapter and the composition of such Board, within 30 days after the establishment of such Board.
- (3) A copy of the form of annual statement of financial disclosure and a statement of the date such annual statement form was promulgated by this chapter.

B. The Town Clerk shall, in accord with § 18-6C herein, notify the candidates for local office of the requirement to file an annual financial disclosure statement and shall collect and forward the executed financial disclosure statements to the Board of Ethics.

§ 18-10. Removal from certain state provisions.

Notwithstanding anything to the contrary contained in this chapter, the Town of Clarkstown hereby elects to remove itself from the ambit of all of the provisions of § 812, other than Subdivision 3 of such section, of the General Municipal Law, in accordance with the provisions of Subdivision 3 of such section.

§ 18-11. Inducement of violations.

[Added 4-9-2002 by L.L. No. 4-2002]Any person who induces any elected official, covered employee or Town employee to take any action or refrain from taking any action, which is in violation of this chapter, shall be guilty of a Class A misdemeanor as that term is defined in the New York State Penal Law and shall be barred from doing business with the Town for a period of five years from the date of conviction.

§ 18-12. Claims against Town.

[Added 4-9-2002 by L.L. No. 4-2002]Nothing herein shall be deemed to bar the timely filing by a present or former elected official, covered employee or Town employee of any claim, demand, or suit against the Town, made individually or in a representative capacity, arising out of any personal injury or property damage or from any lawful benefit, or from receiving a municipal service or benefit that is generally available to the public.

§ 18-13. Recusal from voting or other discretionary act.

[Added 4-9-2002 by L.L. No. 4-2002]

A. Definitions. For purposes of this section, the following definitions shall apply to acts under this section:

CONTRACT

Any claim, account or demand against or agreement with a municipality, express or implied, and shall include the designation of a depository of public funds and the designation of a newspaper, including, but not limited to, an official newspaper, for the publication of any notice, resolution, ordinance, or other proceeding where such publication is required or authorized by law. "Contract" shall include, for the purposes of this section, an approval or denial of a rezoning, subdivision, variance or other discretionary application and shall also include a determination made pursuant to § 8-0101 of the Environmental Conservation Law. "Contract" shall not include, for purposes of this section, a proposed collective bargaining agreement with the Town that may collaterally affect one or more of the persons set forth in § 18-13A(2)(a) herein when such person is classified as a nonexempt position person by said collective bargaining agreement.

INTEREST

A direct or indirect pecuniary or material benefit accruing to an elected official or covered employee as a result of a contract with the Town, which such elected official or covered employee serves. For the purposes of this section an elected official or covered employee shall be deemed to

have an interest in the contract of:

(1) A spouse, child, step child or dependent;

(2) Business partners, or a business, association, partnership, corporation, or other entity of which the elected official or covered employee is an owner, partner, officer, director, or shareholder, as identified in the financial disclosure statement of said elected official or covered employee executed by him or her pursuant to § 18-6 herein; and

(3) A person, business, association, partnership, corporation, or other entity who is represented in a professional capacity (such as attorney-client or real estate broker/customer) by the elected official or covered employee, as identified in the financial disclosure statement of said elected official or covered employee executed by him or her pursuant to § 18-6 herein.

B. Prohibited actions. Whenever an elected official or covered employee is called upon to vote on, advise on, or otherwise take discretionary action before the Town in which the elected official or covered employee has an interest, the elected official or covered employee shall immediately declare the nature of the interest and shall refrain from taking any action or inaction that would affect the outcome of the matter. Such declaration shall be made a part of the public record concerning the matter.

Prev	Top	Next
Change Views	Contents	
Privacy Policy	Help	Terms of Service

CLARKSTOWN CODE

- (20) Planning Consultant.
- (21) All members of the following Boards and Commissions: **[Amended 11-26-1991 by L.L. No. 11-1991; 6-9-1992 by L.L. No. 3-1992; 7-14-1992 by L.L. No. 6-1992]**
- (a) Architecture and Landscape Commission.
 - (b) Assessment and Review Board.
 - (c) Board of Ethics.
 - (d) Clerk of the Works I.
 - (e) Fire Board of Appeals.
 - (f) Industrial Development Committee.
 - (g) Planning Board.
 - (h) Police Commission.
 - (i) Sanitation Commission.
 - (j) Zoning Board of Appeals.
- B. It is the determination of the Town Board of the Town of Clarkstown that the chairpersons of all political parties in the Town of Clarkstown shall be required to submit an annual financial disclosure affidavit to the Ethics Committee under the Code of Ethics. **[Added 10-22-1996 by L.L. No. 13-1996¹]**
- C. This instrument shall be filed with the Board of Ethics within 30 days of the effective date of the Ethics in Government Law of the Town of Clarkstown. Any Town Board resolution changing or amending this instrument must be filed with the Board of Ethics during either the month of January or February in order for said resolution to be effective for that calendar year. Where such resolution is filed with the Board of Ethics later than February, such resolution shall become effective the following calendar year.
- D. Any reporting individual who has filed a financial disclosure statement in the previous year may, in lieu of filing a new statement, submit an affidavit swearing that either there have been no changes from the previous year or, if changes have occurred in five or fewer items, then such changes shall be disclosed in the affidavit.

¹ **Editor's Note: This local law also redesignated former Subsections B and C to become Subsections C and D.**

ETHICS, CODE OF

18 Attachment 2

Appendix II
Annual Statement of Financial Disclosure Form
Town of Clarkstown (for calendar year)
[Amended 6-25-2002 by L.L. No. 7-2002¹]

- (1) Name _____
- (2) (a) Title of position _____
- (b) Department, agency or other governmental entity _____
- (c) Address of present office _____
- (d) Office telephone number _____
- (3) (a) Marital status _____. If married, please give spouse's full name, including maiden name where applicable.
- Spouses Name: _____ (first) _____ (maiden) _____ (last)
- (b) List the names of all unemancipated children.
- _____
- _____
- _____

Answer each of the following questions completely, with respect to calendar year _____, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a value or amount is required to be reported herein, such value or amount shall be reported as being within one of the following categories (a reporting individual shall indicate the category by letter only):

- Category A: under \$5,000.
- Category B: \$5,000 to under \$20,000.
- Category C: \$20,000 to under \$60,000.
- Category D: \$60,000 to under \$100,000.
- Category E: \$100,000 to under \$250,000.
- Category F: \$250,000 or over.

¹Editor's Note: According to this local law, the modifications to Sections 3(a) and 14 through 19 of this disclosure statement were made to insure that all questions thereto are answered completely, pursuant to and by the authority granted to the Town by the New York Constitution, Article IX, ~ 2(c) and ~ 10(1)(i) and (ii)(a)(1) of the Municipal Home Rule Law to adopt a code provision not inconsistent with ~ 812(5) of the General Municipal Law.

CLARKSTOWN CODE

For the purposes of this statement, anywhere the term "local agency" shall appear, such term shall mean a local agency as defined in ~ 810 of the General Municipal Law.

- (4) List any office, trusteeship, directorship, partnership or position of any nature, including honorary positions, if known, and excluding membership positions, whether compensated or not, held by the reporting individual, spouse or unemancipated child with any firm, corporation, association, partnership or other organization other than the State of New York or Town of Clarkstown. If said entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or as a regular and significant part of the business or activity of said entity, did business with or had matters other than ministerial matters before any state or local agency, list the name of any such agency.

Self, Spouse or Child	Position	State or Organization	Relationship with Local Agency

- (5) List the name, address and description of any occupation, employment, trade, business or profession engaged in by the reporting individual, spouse or unemancipated child. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part of the business or activity of said entity, did business with or had matters other than ministerial matters before any state or local agency, list the name of any such agency.

Self, Spouse or Child	Position	Name/ Address of Organization	Description	State or Local Agency	Relationship with Local Agency

- (6) List any interest in excess of \$1,000, excluding bonds and notes, held by the reporting individual, such individual's spouse or unemancipated child or a partnership of which any such person is a member or a corporation, 5% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency, and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do not list any interest in any such contract which has been performed and on which final payment has been made, except for guaranties or warranties where an ongoing dispute exists with regard to such guaranties or

ETHICS, CODE OF

warranties. Do not list any interest in a contract made or executed by a state agency after public notice and pursuant to a process for competitive bidding.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract

- (7) List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee or as a political party district leader. The term "party" shall have the same meaning as "party" in the Election Law. The term "political organization" means any party or independent body as defined in the Election Law or any organization that is affiliated with or a subsidiary of a party or independent body.

- (8) (a) If the reporting individual practices law, is licensed by the Department of State as a real estate broker or agent or practices a profession licensed by the Department of Education, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm, give a general description of principal subject areas of matters undertaken by such firm. Do not list the name of the individual clients, customers or patients.

- (b) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000, excluding investments in securities and interests in real property.

CLARKSTOWN CODE

- (9) List each source of gifts, excluding campaign contributions, in excess of \$1,000 received by the reporting individual or such individual's spouse or unemancipated child from the same donor, excluding gifts from a relative, and include the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in Item 10, Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift
--------------------------	---------------	---------	----------------	------------------------------

- (10) Identify and briefly describe the source of any reimbursements for expenditures, excluding campaign expenditures and expenditures in connection with official duties reimbursed by the political subdivision for which this statement has been filed, in excess of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties, such as speaking engagements, conferences or fact-finding events. The term "reimbursements" does not include gifts reported under Item 9.

Source	Description
--------	-------------

- (11) List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans other than retirement plans of the State of New York or the City of New York and deferred compensation plans established in accordance with the Internal Revenue Code, in which the reporting individual held a beneficial interest in excess of \$1,000 at any time during the preceding, year. Do not report interests in a trust, estate or other beneficial interest established by or the estate of a relative.

Identity	Category of Value*
----------	--------------------

ETHICS, CODE OF

* The value of such interest shall be reported only if reasonably ascertainable.

- (12) (a) Describe the terms of and the parties to any contract, promise or other agreement between the reporting individual and any person, firm or corporation with respect to the employment of such individual after leaving office or position, other than a leave of absence.

- (b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the reporting individual in excess of \$1,000 from a prior employer other than the political subdivision for which this statement is filed. (This includes interests in or contributions to a pension fund, profit-sharing plan or life or health insurance; buy-out agreements; severance payments; etc.)

- (13) List below the nature and amount of any income in excess of \$1,000 from each source for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. "Nature of income" includes but is not limited to salary for government employment, income from other compensated employment, whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/Spouse	Source	Nature	Category of Amount

CLARKSTOWN CODE

- (14) List the sources of any deferred income in excess of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate, but shall not identify individual clients.

- None; or
- Detailed answer provided below:

Source	Category of Amount
_____	_____
_____	_____
_____	_____
_____	_____

- (15) List each assignment of income or transfer of property for less than fair consideration, other than to a relative, by the reporting individual of any item in excess of \$1,000 which would otherwise be required to be reported herein and is not or has not been so reported.

- None; or
- Detailed answer provided below:

Item Assigned	Assigned or Transferred to	Category of Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

- (16) List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in excess of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity, exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed only if the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit, in which event such securities shall be listed unless they are not ascertainable by the reporting individual. Securities in which the reporting individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership if the reporting person or the reporting person's spouse holds more than 1% of the stock of a corporation in which the stock is publicly traded or more than 5% of the stock of a corporation in which the stock is not publicly traded. Also list securities owned by a corporation more than 50% of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose

ETHICS, CODE OF

of this item, the term "securities" shall mean bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interest and such other evidences of indebtedness and certificates of interest as are usually referred to as "securities." The market value for such securities shall be reported only if reasonably ascertainable.

- None; or
- Detailed answer provided below:

Self/Spouse	Issuing Entity	Type of Security	Category of Market Value (as of the close of the taxable year last occurring prior to the filing of this statement)	Percentage of Corporate Stock Owned or Controlled
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

- (17) List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in excess of \$1,000 is held by the reporting individual or the reporting individual's spouse, together with the names of all individuals or entities who share a direct or indirect interest therein if known to the reporting individual. Also list real property owned for investment purposes by a corporation, more than 50% of the stock of which is owned or controlled by the reporting individual or such individual's spouse.

- None; or
- Detailed answer provided below:

Self/Spouse/ Other Party with Interest	Location	Size	General Nature	Category of Acquisition Date	Percentage Market Value of Ownership
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

- (18) List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing in excess of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in Item 16 hereinabove. If any obligation to the reporting

CLARKSTOWN CODE

person is guaranteed by a third person, list the obligation and the name of such person. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

- None; or
- Detailed answer provided below:

Name of Debtor or Guarantor	Type of Obligation, Date Due and Nature of Collateral (if any)	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

- (19) List below all liabilities of the reporting individual and such individual's spouse in excess of \$5,000 as of the date of filing of this statement, other than liabilities to a relative. Do not list liabilities incurred by or guaranties made by the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Revolving charge account information shall only be set forth if liability thereon is in excess of \$5,000 at the time of filing. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any liability has been guaranteed by any third person, list the liability and name the guarantor.

- None; or
- Detailed answer provided below:

Name of Creditor or Guarantor	Type of Liability and of Collateral (if any)	Category of Amount
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

ETHICS, CODE OF

(Signature of Reporting Individual)

Date (month/day/year)

Sworn to before me this
_____ day of _____, 19_____.

Notary Public